

Pg 1 of 5

From: (212) 415-5912
Valeria Vaz
RBC Wealth Management
12 East 49th Street, 34 Floor

Origin ID: OGSA

FedEx
Express



J141014/00070326

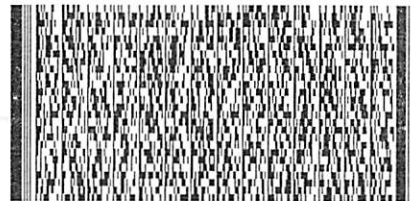
New York, NY 10017

SHIP TO: (866) 879-0688

BILL SENDER

Att:Lehman Brothers. Claim Dpt.
Epiq Bankruptcy Solutions LLC ,
757 Third Ave, 3rd Floor

NEW YORK, NY 10017



Ship Date: 06MAY14
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CAD: 101025719/NET3490

Delivery Address Bar Code



Ref #
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Dept #

Belmont- Lehman Claim

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MAY 07 2014

EPIQ SYSTEMS

WED - 07 MAY 10:30A

PRIORITY OVERNIGHT

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Form 210A (10/06)



United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

RBC WEALTH MANAGEMENT

Name of Transferee

Name and Address where notices
to transferee should be sent:

RBC WEALTH MANAGEMENT
60 S. 6th STREET
MINNEAPOLIS, MN 55402-1106

Phone: 612-607-8229

Last Four Digits of Acct #: 4348

Name and Address where transferee
payments should be sent (if different
from above):

Phone: _____

Last Four Digits of Acct #: _____

Bank J. Safra Sarasin (Gibraltar) Ltd

Name of Transferor

Court Claim # (if known): 46123

Date Claim Filed: 26 October 2009

Amount of Claim: USD 300,000

Portion of Claim Transferred (see
Schedule I): USD 300,000

Phone: +3502000 2500

Last Four Digits of Acct. #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to
the best of my knowledge and belief.

By: [Signature]
Transferee/Transferee's Agent

Date: 5/6/2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank J. Safra Sarasin (Gibraltar) Ltd** ("**Transferor**") unconditionally and irrevocably transferred to **RBC Wealth Management** ("**Transferee**") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No 46123**) in nominal amount of **USD 300,000** relating to the securities with International Securities Identification Numbers listed on **Schedule I** hereto against Lehman Brothers Holdings, Inc. (the "**Debtor**"), Chapter 11 Case No: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "**Bankruptcy Court**").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the forgoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM
IS EXECUTED ON 25 MARCH, 2014.

Bank J. Safra Sarasin (Gibraltar) Ltd

By: 
Name: Roy Clinton
Title: CEO

By: 
Name: Kirsty Neale
Title: CFO

SCHEDULE I

Lehman Program Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0299354919	46123	26 October 2009	Lehman Brothers Treasury Co. BV.	USD 300,000



RBC Wealth Management

Account Number _____
Rep ID _____ Alternate Branch _____

ACCOUNT TRANSFER FORM

RBC WEALTH MANAGEMENT DELIVERY INSTRUCTIONS

RECEIVING FIRM INFORMATION

Name and Address: RBC Wealth Management Tax ID: 41-1416330
60 S. 6th Street Phone: (612) 607-8229
Minneapolis, MN 55402-1106 Fax: (612) 607-8224

Delivery instructions must include client's name and RBC Wealth Management account number

DTC ELIGIBLE SECURITIES		#0235
Physical Checks:		RBC Wealth Management Treasury Lock Box For the account of: _____ SD 12-1190 P.O. Box 86 Minneapolis, MN 55486-1190
Physical Delivery:		RBC Wealth Management For the account of: _____ 60 S 6th Street, Attn: 9th Floor - Physical Security Processing Minneapolis, MN 55402
	Physical OTW	RBC Capital Markets 3 World Financial Center 200 Vesey St., 5th Floor New York, NY 10281
Euroclear Securities:		Bonds: 25827 Equities: 93999
Foreign Securities:		All Other Foreign Securities: Call the Account Transfer department to obtain settlement instructions at 612-607-8229.
Commercial Paper, Bankers Acceptance:		Bank of New York Acct: DSP 1 Wall Street, 3rd Floor Window B - Securities Processing New York, NY 10015
GNMA Book Entry(PTC):		BNDRG, Further credit the account of: _____
Government Wireable:		Bk of NYC/DSP, ABA Number: 021000018 Further credit the account of: _____
Wired Funds:		US Bank, ABA #: 091000022 Account Number 160230097208/RBC Capital Markets, LLC Further credit the account of: _____